

Encryption with DB2 Field Procedures in IBM i 7.1



Agenda

Presenter:

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Agenda:

- Data Risks and Trends
- Traditional methods for field (column) encryption
- Introduction to DB2 Field Procedures (FieldProcs)
- How FieldProcs work
- How to get started with FieldProcs
- Potential "gotchas" with FieldProcs
- Performance considerations
- FieldProc program source example
- Feel free to ask any questions





Data Risks

Exposed Data



Backup media often passes through many hands to reach its off-site storage location. Unless otherwise protected, all data transfers travel openly over the Internet and can be monitored or read by others.



Costs of Losing Data

- Cost of a Data Breach study conducted by the Ponemon Institute
- 33,000 lost customer records per incident
- Average cost is now \$214 for each lost record
- \$7.2 million average organizational cost per breach
- Costs: Administrative and IT labor, Notifications to customers, Public relations, Regaining trust, Lost business



Average Cost per Data Breach



Data Which Needs a High Level of Protection

- Anything that is confidential to the <u>organization</u>, its <u>employees</u> and its <u>customers</u>
- Credit card numbers
- Personal Identifiable Information (e.g. Social security numbers)
- PIN numbers
- Payroll information (e.g. wages)
- Health-related information (PHI)
- Bank Account numbers
- Driver License numbers
- Financial data
- Trade Secrets (e.g. product formulas)





Why Should You Protect This Data?

- To comply with regulations:
 - HIPAA
 - Sarbanes Oxley
 - Gramm-Leach-Bliley Act
 - State privacy laws
- To avoid potential penalties and lawsuits
- To comply with PCI Security Standards
- To avoid bad public relations
- To ensure your continued employment (you don't want to be the one that "takes the fall")

"The personal information of 13,000 individuals who had filed compensation claims with BP after last year's Gulf of Mexico oil spill may have been compromised...

Numerous encryption technologies are available these days to mitigate the risks involved in the loss of a computer or other device, but many companies still don't use them."

(Source: ComputerWorld, March 2011)







Encryption Basics

- Encryption is the process of transforming plain text into cipher text (understandable text becomes unintelligible)
 - **Before:** 4600636500982212
 - After: dËKä°BBYý□\åê•Ñ®
- Encryption hides the meaning of the message, but not its existence
- AES is the most popular encryption Cipher.
 - Approved by NIST
 - No known attacks
 - Fast form of Encryption 6 times faster than Triple DES
 - Uses symmetric keys
 - Key lengths can be 128, 192 or 256 bits



TERMS

AES is the abbreviation for Advanced Encryption Standard. AES utilizes symmetric key cryptology. It provides strong encryption and is approved by the U.S. Government for protecting top secret information.

Cipher is a pair of algorithms that perform encryption and decryption.

NIST is the abbreviation for National Institute of Standards and Technology. Is a federal technology agency that develops and promotes standards and technology.



Encryption Projects

- IBM i shops are at many different stages in their encryption projects:
 - Some have done research, but did not have the time to implement
 - Some used IBM's APIs and built their own custom solution
 - Some used a 3rd party solution
- Compliance requirements (e.g. PCI) generally drive encryption projects



- Many organizations are re-examining their initial encryption projects (looking for better key management, auditing, security controls, ease-of-use, etc.)
- Organizations would like to encrypt additional sensitive data such as birth dates, names, and other Personally Identifiable Information (PII) data.





Field Encryption Options (before IBM i 7.1)

- For field encryption on IBM i (prior to 7.1), you have two options:
 - Use API calls to encrypt the data before writes and updates (requires program mods)
 - Use column triggers to automatically encrypt data on writes and updates (much better)
- Still need to modify any application where data needs to be decrypted:
 - Screens
 - Reports
 - Batch processes
 - Queries
- For numeric fields, have to change database types to alphanumeric OR store the encrypted values in an external (shadow) file
- Could not encrypt date, time and timestamp field types
- What if you don't have the source code or the time?





Field Procedures - Introduction

- Field Procedures (FieldProcs) available in IBM i 7.1
- Linoma has been working with FieldProcs since beta (early 2010)
- FieldProcs are "enabling" technology to <u>simplify</u> encryption projects
- Can minimize or eliminate any application changes
- Stores alternative "encoded" values for fields, so do not need to change your data types, lengths or CCSIDs
- Can be used for a variety of other encoding and decoding schemes:
 - Compression of large strings
 - Normalization of text (41st Street becomes 41st st.)
- Supported for DDS-described physical files and SQL-defined tables
- FieldProcs allowed on multiple fields in a file
- Supported in multi-member files





Field Procedures - Diagram





Adding and Removing Field Procedures

Adding a Field Procedure (registering)

- SQL syntax: ALTER TABLE library/filename ALTER COLUMN fieldname SET FIELDPROC proglib/program
- No other locks can be on the file while the ALTER statement runs
- Make sure you have *OBJALTER authority to the file, as well as *USE authority to the FieldProc program
- Performs a mass encoding (encryption) of the field values
- May take some time Submit to batch

Removing a Field Procedure

- SQL syntax: ALTER TABLE library/filename ALTER COLUMN fieldname DROP FIELDPROC
- Performs a mass decoding (decryption) of the field values



Encoded Values

- Encoded value can have a different type, length and CCSID than the original field
- Does not change the record format level id Will <u>not</u> get level checks in programs

see, but the encoded

length may be different.

• DSPFFD example after adding a FieldProc:

*...+...1...+...2...+....3...+....4....+....5...+....6....+....7....+... Field Buffer Buffer Field Column Data Field Length Length Position Heading Usaqe Type Social Secur. CMSSNO CHAR 9 9 43 Both Field text Social Security number : Coded Character Set Ident ier . . . 37 Field Procedure Name CRRP008 . . Field Procedure Library CRYPTO This is the field length that the application will

Shows the name of the program that will be called on the encode and decode operations

View all FieldProcs on the system with the following SQL statement: **SELECT * FROM QSYS2.SYSFIELDS** Each entry will show the file name, field name, type, length and FieldProc name



Performance – Adding Field Procedures

• Time taken (in seconds) to add FieldProcs to a file with 1,000,000 records



- Every time you add a new FieldProc to a file, it runs all the existing FieldProcs on the file to decode and re-encode the values
- Best practice Use a single ALTER TABLE statement to add all FieldProcs to the file at once

* Tests ran on a model 520. Results are dependent on the size of the IBM i hardware.



Encode Events – Which call the FieldProc

- Native record-level updates and writes
- SQL Insert and Update statements
- Some CL Commands: CPYF, RGZPFM, STRDFU
- Query Processing

e.g. Select SSNO, NAME where SSNO = '508773211'

* Query search behavior can be changed using the FIELDPROC_ENCODED_COMPARISON setting in the QAQQINI query options file.

- Encoded key values on the SETLL , SETGT, CHAIN, READE: e.g. SSNO CHAIN EMPMAST
- Triggers Processing (e.g. for before/after images)

* The FieldProc has no knowledge of what operation (insert, update, etc.) caused the encode or decode event.

On = and <> comparisons (by default) the search is performed using the encoded version of the search value.



Decode Events – Which call the FieldProc

- Native record-levels reads (READ, READE, SETLL, CHAIN, SETGT)
- SQL Select and Fetch

 e.g. Select SSNO, NAME where NAME = `BOB'
- Query Processing

e.g. Select SSNO, NAME, CITY, STATE where SSNO > `508773211'

* Query search behavior can be changed using the FIELDPROC_ENCODED_COMPARISON setting in the QAQQINI query options file.

- Report writers
- File Transfer utilities (e.g. Client Access, Surveyor/400)
- Reading CL commands: DSPPFM, CPYF
- Trigger Processing (e.g. for before/after images)

On >, >=, <, <= comparisons (by default) all of the existing values in the FieldProc column will be decoded first.

Could be big Performance Hit!



FIELDPROC_ENCODED_COMPARISON

Specifies the amount of optimization that may be used when queried columns have attached field procedures . *ALLOW_EQUAL is the default behavior.

***NONE** – No optimization to remove field procedure decode option 4 or transformations to optimize field procedure invocations is allowed. For example, the optimizer cannot transform fieldProc(4, column) = 'literal' to column = fieldProc(0, 'literal'). This option is used when the field procedure is not deterministic.

***ALLOW_EQUAL** – Optimization allowed for equal and not equal predicates, GROUP BY, and DISTINCT processing. For example, the optimizer might choose to change the predicate fieldProc(4, column) = 'literal' to column= fieldProc(0, 'literal') in order to facilitate index matching. This option is useful when the field procedure is deterministic but no ordering can be determined based on the result of the field encoding.

*ALLOW_RANGE – Transformation allowed for MIN, MAX grouping functions, ORDER BY, and all predicates except LIKE in addition to the transformations supported by *ALLOW_EQUAL. This option is useful when the field procedure is deterministic and the encoded value implies ordering

***ALL** – Transformation allowed for all predicates including LIKE, in addition to the transformations supported by *ALLOW_RANGE.

Sorting on Keyed Logicals and Physicals

- It sorts by the encoded (encrypted) value on READs... not by the decoded (decrypted) value
- Example file layout for EMPMAST

А	R EMPREC		
А	EMPID	7 0	COLHDG('Employee id')
A	NAME	30	COLHDG(`Employee Name')
A	SSNO	9	COLHDG('Social Security Number')
А	K EMPID		

• Field procedure is added to EMPID

ALTER TABLE EMPMAST ALTER COLUMN EMPID SET FIELDPROC PRGLIB/CDRP008

RPG Example of reading entire file

*	Read all the	e employees	by employee id	Results not ordered:
С	*LOVAL	SETLL	EMPMAST	23233
С		DOW	NOT %EOF(EMPMAST)	54332
С		READ	EMPMAST	
С		ENDDO		

- Instead, use ORDER BY in an embedded SQL Select statement (if use default QAQQINI option)
 e.g. SELECT * FROM empmast ORDER BY empid
- Should not have problems with CHAINS or READEs



CHGPF Problems

- CHGPF SRCFILE(...) will drop any FieldProcs on a file
- This would perform a mass decryption of the field values!!! WITHOUT WARNING!
- This is a known as an issue by IBM...
- Recommended to submit a request to eliminate this issue with CHGPF (either direct to IBM or through the COMMON Requirement process)
- Alternative:
 - Convert physical file DDS to SQL before using FieldProcs Then you can use ALTER Table to add, change or remove fields instead of CHGPF
 - Linoma's Surveyor/400tm or IBM's i Navigator can help facilitate the conversion to SQL





Stomping on Data

- If conditionally return masked values or blanks, then you may stomp on the data on the subsequent update
- Example file layout for EMPMAST

A	R EMPREC		
A	EMPID	7 0	COLHDG(`Employee id')
A	NAME	30	COLHDG(`Employee Name')
A	SSNO	9	COLHDG('Social Security Number')
A	K EMPID		

• Field procedure is added to SSNO (to return authorized value; full, masked or none)

ALTER TABLE EMPMAST ALTER COLUMN SSNO SET FIELDPROC PRGLIB/CDRP008

RPG Maintenance Program - Example of Stomping over data





Performance – Reading Records

Test on reading 1,000,000 records:



Test conducted using a READ statement in an ILE RPG program

* Tests ran on a model 520. Results are dependent on the size of the IBM i hardware.



Performance – Inserting Records

• Test on inserting 1,000,000 records:



• Test conducted using a WRITE statement in an ILE RPG program

* Tests ran on a model 520. Results are dependent on the size of the IBM i hardware.



Performance – Updating Records

Tests ran on reading/updating 1,000,000 records



- Test conducted with a READ and UPDATE statement from an ILE RPG program
- On a record UPDATE, the FieldProc encode operation runs even if the field values did not change (unlike a column trigger)



Field Procedure Programs

- The FieldProc program must be either written in-house or provided by a vendor (e.g. Linoma)
- FieldProc program criteria:
 - Must be an ILE program
 - Cannot be a Service Program, OPM *PGM or JAVA program
 - Cannot contain any SQL statements
 - Has to be capable of running in a thread (for RPG, specify THREAD(*SERIALIZE) in H spec)
 - Cannot use ACTGRP(*NEW)
- FieldProc program needs 3 sets of logic to process the function code passed in by DB2:

// When the FieldProc is added with the ALTER TABLE statement

If Function = 8;

(logic to return the encoded value's length, type and CCSID to DB2)

// When the data needs to be encoded – primarily called on writes/updates

Elself Function = 0;

(logic to encrypt the original value and return it to DB2)

// When the data needs to be decoded – primarily called on reads

Elself Function = 4;

(logic to decrypt the encoded value and return it to DB2)



FieldProc Program Example (page 1 of 4)

<pre>* Prototype for encrypt function D encrypt Pr 16a D input 16a value D length 5s 0 value * Prototype for decrypt function D decrypt Pr 16a D input 16a value D length 5s 0 value * Structure describing the parameter data type D FieldDesc DS qualified D encrypt Si 0</pre>
D encrypt Pr 16a D input 16a value D length 5s 0 value * Prototype for decrypt function D decrypt Pr 16a D input 16a value D length 5s 0 value * Structure describing the parameter data type D FieldDesc DS qualified D sol data type
D input 16a value D length 5s 0 value * Prototype for decrypt function D decrypt Pr 16a D input 16a value D length 5s 0 value ************************************
D length 5s 0 value * Prototype for decrypt function D decrypt Pr 16a D input 16a value D length 5s 0 value ************************************
* Prototype for decrypt function D decrypt Pr 16a D input 16a value D length 5s 0 value ************************************
* Prototype for decrypt function D decrypt Pr 16a D input 16a value D length 5s 0 value ************************************
D decrypt Pr 16a D input 16a value D length 5s 0 value ************************************
D input 16a value D length 5s 0 value ************************************
D length 5s 0 value ************************************

* Structure describing the parameter data type D FieldDesc DS qualified D sclTure 5i 0
D FieldDesc DS qualified
D byteLen 10u 0 Length of parameter
D length 10u 0 length in characters
D precision 5i 0 precision if numeric
D scale 5i 0 scale if numeric
D CCSID 5u 0 ccsid
D allocLen 5u 0 allocated length
D reserved1 14a available for use

FieldProc Program Example (page 2 of 4)

* Function code ()	0=Encode, $4=1$	Decode,	8=Definition for create time)	
D functionCode	S	51 0		
* Structure des	cribing optio	onal par	ameters	
D optionalParms	DS		qualified	
D listLen		10i 0		total length
D listCnt		10i 0		number of parms
D parmList		1a		optional parms
* Decoded Data Dea	scription			
D decodeDataDesc	DS		LikeDS(FieldDesc)	
* Decoded Data				
D decodedData	S	9		
* Encoded Data De	escription			
D encodeDataDesc	DS		LikeDS(FieldDesc)	
* Encoded Data				
D encodedData	S	16		
* Returned State :	for errors			
D sqlState	S	5 a		
* Structure for	communication	ng messa	ges.	
D messageText	DS		qualified	
D msgTextLen		5i 0		Msg Length
D msgTextData		1000a		Msg Text
* Entry parms				
C *ENTRY	PLIST			
С	PARM		functionCode	
C	PARM		optionalParms	
C	PARM		decodeDataDesc	
C	PARM		decodedData	
C	PARM		encodeDataDesc	
С	PARM		encodedData	
С	PARM		sqlState	
С	PARM		messageText	

FieldProc Program Example (page 3 of 4)

```
*_____*
* MAINLINE
*______
/free
// Handle function requested...
Select;
// -----
// When ALTER TABLE or CREATE TABLE runs
// -----
When (functionCode = 8);
  // Make sure it is the correct type of Fixed-length character string
  If (decededDataDesc.sqlType <> 452 AND
         decededDataDesc.sqlType <> 453);
     sqlState = '38I02';
     messageText.msgTextData = 'Unexpected data type encountered.';
     messageText.msgTextLen = %len(%trim(messageText.msgTextData));
     Return;
  Else;
     // set the encoded Data Attributes
     encodeDataDesc.sqlType = 452; // Fixed-length
     encodeDataDesc.byteLen = 16; // Size in Bytes of encoded data
                       = 16; // Size in Characters of encoded data
     encodeDataDesc.length
     encodeDataDesc.precision = 0; // precision of numeric parameter
     encodeDataDesc.scale = 0; // scale of numeric parameter
     encodeDataDesc.CCSID = 65535; // CCSID of encoded data
     encodeDataDesc.allocLen = 0; // Data length in Variable container
  Endif;
```

FieldProc Program Example (page 4 of 4)

```
// -----
// Encode
// -----
When (functionCode = 0);
  // Encrypt the data
  encodedData = Encrypt(decodedData:9);
// -----
// Decode
// -----
When (functionCode = 4);
  // Decrypt the data
  decodedData = Decrypt(encodedData:16);
         -- Unsupported option.
// Error
Other;
 sqlState = '38I03';
 messageText.msgTextData = 'Unsupported option requested of ' +
                                              'Field procedure FIELDPROC.';
  messageText.msgTextLen = %len(%trim(messageText.msgTextData));
EndSl;
// Normal termination.
*inLR = *on;
Return;
/end-free
```

FieldProc Masking (Beta PTF)

- New PTF (in beta) to allow the programmer to return an SQL state '09501' if its determined that the encode is trying to replace a value with a Masked value.
- SQL state 09501 only affects Updates and Inserts through FieldProcs:
 - For an Update operation, 09501 indicates to DB2 that the current value for the column should be used.
 - For an Insert operation, 09501 indicates to DB2 that the default value should be used for the associated column value.



FieldProc (Beta PTF)

- IBM has determined that at certain times they need to the full value returned from the FieldProc. Not masked. One example of this is when they are doing Trigger Processing.
- The beta PTF is introducing a new FieldProc parameter (at the bottom of the *ENTRY PLIST).
 - Layout of new parameter (Data Structure): D sqlExtraInfo DS qualified D sqlfpInfoLen 10i 0 D sqlfpNoMask 1a
 - D reserved 123a
- If a '1' in provided in the sqlpNoMask field, then DB2 wants the full value returned.
- Since this is a new parameter that will be added at the bottom of the *ENTRY PLIST, and some systems may not have the PTF installed yet, you can check for existence of the parameter sent in using the %Parms function
- This should be implemented when using the new masking SQL return code of 09501.



FieldProc Errors

- If you want to report an error back to the calling application, make sure to set the SQLSTATE in your FieldProc before returning
- Valid SQLSTATES are in the 38xxx range
- DB2 will return a message with the id of CPF504D and text of "Field procedure error".
- You can return additional text in the 2nd level message. Example:





*LOVAL and *HIVAL

- Most RPG shops use *LOVAL SETLL to position to the beginning of a file and *HIVAL SETGT to position to the end of a file
- DB2 will pass *LOVAL to your FieldProc as a string of hex 0s and *HIVAL as a string of hex Fs
- Do not encrypt those special hex values in your FieldProc, or else...
 - DB2 may not position correctly when using *LOVAL or *HIVAL, since it would be trying to position with the encrypted versions of *LOVAL or *HIVAL
 - Subsequent READ/READP operations may not return all records (e.g. if 6 records in the file, you may only get 4)
 - Example of file contents
 - KEY HEX ENCODED
 - 1 E7D8E95115267BC3EFBABEBF0F318875
 - 2 D0D231AF065105B9FCAC6448143C75C1
 - 3 D36E4FF5DADD020CB8836EDCE578C609
 - 4 F1CC050A407B260D73FB0C9410DB16E8
 - 5 A62292B1273E77A3E822C1BEFF13A8DC
 - 6 D05D1712C41FDC47D53DF38A70CE2961
 - Example results of SETGT *HIVAL and READP (if not handled properly):



KEY 2 6 5

Other Things to Know

- CRTDUPOBJ will duplicate any FieldProcs on the file
- CPYF will always decode the values on the "From" file. It will also encode the values on the "To" file (if FieldProcs exist on the file)
- FieldProc runs in secondary thread. First time a FieldProc is called, it will use the job's library list. It will not recognize any additional changes to the library list for the job.
- Users must have authority to the FieldProc program
 - They should have at least *USE authority to the FieldProc program OR
 - Create the FieldProc program with USRPRF(*OWNER) and *EXCLUDE public authority. However, this approach will circumvent any authority checks for masking.
- A FieldProc cannot adopt authority from your programs since it runs in a different thread
- If a user is not authorized to a FieldProc program, they will get message id CPF4236 with the text of "Not authorized to open member X".
- FieldProc programs should be "short running" to avoid timeouts. For instance, it is not recommended to perform other file I/O operations in the FieldProc program.
- Make sure to back up FieldProc programs since they are <u>not</u> automatically backed up with the file.



IBM Encryption APIs

• If you want to do it yourself, start by looking at IBM's APIs of Qc3EncryptData and Qc3DecryptData

Require	d Parameter Group:		
1	Clear data	Input	Char(*)
2	Length of clear data	Input	Binary(4)
З	Clear data format name	Input	Char(8)
4	Algorithm description	Input	Char(*)
5	Algorithm description format name	Input	Char(8)
6	Key description	Input	Char(*)
7	Key description format name	Input	Char(8)
8	Cryptographic service provider	Input	Char(1)
9	Cryptographic device name	Input	Char(10)
10	Encrypted data	Output	Char(*)
11	Length of area provided for encrypted data	Input	Binary(4)
12	Length of encrypted data returned	Output	Binary(4)
13	Error code	I/O	Char(*)
Service Default	Program Name: QC3DTAEN Public Authority: *USE		

• You can read about these APIs at:

http://publib.boulder.ibm.com/infocenter/iseries/v7r1m0/index.jsp?topic=/apis/qc3encdt.htm http://publib.boulder.ibm.com/infocenter/iseries/v7r1m0/index.jsp?topic=/apis/qc3decdt.htm

• We use IBM's encryption functions in our FieldProcs



Important Elements of a Good Encryption Solution

- Effective <u>Key Management</u> features to meet auditor and compliance requirements
- Stores the Keys securely in encrypted form
- Good security controls on who can create and manage Keys
- Allows only certain individuals to grant/revoke access to decrypted values
- Can restrict users to masked values
- Is easy to rotate Keys (for re-encrypting data)
- Has good audit trails (logs) and security alerts
- Doesn't entrust too much control to a single person (dual control, separation of duties)
- Shouldn't be too complex for implementation and on-going maintenance

"DB2 field procedure programs can be created by any developer, but please be aware that it takes a deep knowledge of encryption algorithms and best practices to implement a secure encryption solution."





Field Procedures vs Column Triggers

Benefits of FieldProcs over Column Triggers:

- FieldProcs are faster than Triggers
- FieldProcs can modify data on Read operations less (or no) program changes
- FieldProcs support the storage of the encrypted (encoded) values in the existing file (this is great when needing to encrypt non-alpha field types)

Benefits of Column Triggers over FieldProcs:

- Column Triggers have been available since V5R1 *(all the kinks have been worked out)*
- Column Triggers only run when the field values change, which may provide better performance

Performance benefit of using APIs (for decryption) versus FieldProcs:

 You can choose when to decrypt the values within your applications. Maybe there are only certain screens or reports where the decrypted values need to be shown.





Summary

- Most shops are not on IBM i 7.1 yet... but some are planning to upgrade soon
- Make sure to get the latest PTFs from IBM before going live
- Still may have to make application changes to fix the sorting problem for keyed fields
- Test, test, test (FieldProcs is new technology):
 - Test all applications that write/update the field
 - Test all applications and queries that read the file
 - Test performance
- Option if not on IBM i 7.1 : can use the column trigger approach to automate encryption
- Additional questions...



Other Resources

 FieldProc article from Kent Milligan (Senior DB2 consultant for IBM): (Provides a good overview of FieldProcs, along with a FieldProc RPG source example)

http://www.mcpressonline.com/database/db2/enable-transparent-encryption-with-db2-field-procedures.html

 IBM i Database SQL Programming Guide: (Details on FieldProcs, including parameter descriptions, recommendations)

http://www-03.ibm.com/systems/i/software/db2/books.html

 IBM i Database Performance and Query Optimization Guide: (Indicates when encode/decode operations are ran by DB2, including information on how DB2 optimizes queries that include FieldProc columns. Also provides info on the QAQQINI option.)

http://www-03.ibm.com/systems/i/software/db2/books.html





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